

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: July 7, 2004

Signature of Person Certifying: What Illu of Printed Name: Diane G. ANard

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Sreenivas

Gollapudi

Serial No.: 09/515,674

Filed: February 29, 2000

For: METHOD AND MECHANISM FOR REDUCING CLIENT-SIDE MEMORY FOOTPRINT OF TRANSMITTED DATA

Group Art Unit: 2154

Examiner: Sindya Narayanaswamy

RECEIVED

JUL 1 6 2004

**Technology Center 2100** 

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached forms PTO/SB/08a. Copies of the items listed are enclosed herewith.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

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## INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

— (1) with applicat	in three	OS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is a months of the filing date of the application, which is not a continued prosecution d under § 1.53(d) or (2) within three months of entry of the national stage as set forth in 1; or (3) before the mailing of a first Office action on the merits; or (4) before the				
_		st Office action after filing a request for continued examination under § 1.114. Thus, no				
fee is re	quirea.					
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.				
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.				
Action of 37 CFR	This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under R § 1.311.  The fee due under 37 CFR § 1.17(p) is submitted herewith.					
		The fee due under 37 CFR § 1.17(p) is submitted herewith.				
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.				
§ 1.113	or a No	OS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR otice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A or 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted				
		OS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR of tice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.				

	STATEMENT UNDER 37 CFR § 1.97(e):								
	Each item contained in this IDS was first cited in a communication from a foreign patent office								
in a co	unterpart foreign application not more than three months prior to the filing of this IDS.								
	No item contained in this IDS was cited in a communication from a foreign patent office in a								
counte	rpart foreign application, and, to the knowledge of the person signing this statement after								
making	g reasonable inquiry, no item of information contained in this IDS was known to any individual								
design	ated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.								
	PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:								
	A check in the amount of is enclosed for the above fee(s).								
$\boxtimes$	The Commissioner is authorized to charge the fee due under 37 CFR § 1.17(p) to the Bingham								
McCut	tchen's Deposit Account No. 50-2518, referencing billing number 7011022001. The								
Comm	issioner is authorized to credit any overpayment or to charge any underpayment to Bingham								
McCut	tchen's Deposit Account No. 50-2518, referencing billing number 7011022001.								
	Respectfully submitted,								
Dated:	July 7, 2004  By: Jeffrey S. Smith Reg. No. 39,377								
DINICI	JAM MaCLITCUEN LLD								

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PTO/SB/08a (08-03)

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er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known Application Number 09/515,674 INFORMATION DISCLOSURE Filing Date February 29, 2000 STATEMENT BY APPLICANT First Named Inventor Sreenivas Gollapudi Art Unit (Use as many sheets as necessary) Examiner Name Sindya Narayanaswamy 7011022001 Attorney Docket Number Sheet

			U.S. PATENT [			
Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	First Named Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials *		Number - Kind Code <sup>2</sup> (if known)				
	1.	US-2001/0013045	08/09/01	Loschky		
	2.	US-2002/0099806	07/25/02	Balsamo		
	3.	US-2002/0194174	12/19/02	Calkins	DECEMEN	
	4.	US-4,803,613	02/07/89	Kametani	MEUEIVED	
	5.	US-5,241,648	08/31/93	Cheng		
	6.	US-5,303,149	04/12/94	Janigian	.101 1 6 2004	
	7.	US-5,305,389	04/19/94	Palmer		
	8.	US-5,410,698	04/25/95	Danneels	Technology Center 210	
	9.	US-5,765,159	06/09/98	Srinivadsan	Technology denter 210	
	10.	US-5,799,302	08/25/98	Johnson		
	11.	US-5,802,528	09/01/98	Oki		
	12.	US-5,812,852	09/22/98	Pouslen		
	13.	US-5,813,000	09/22/98	Furlani		
	14.	US-5,815,718	09/29/98	Tock		
	15.	US-5,819,268	10/06/98	Hackett		
	16.	US-5,822,790	10/13/98	Mehrotra		
	17.	US-5,913,208	06/15/99	Brown		
	18.	US-5,937,415	08/10/99	Sheffield		
	19.	US-5,958,040	09/28/99	Jouppi		
	20.	US-6,052,699	04/18/00	Huelsbergen	,	
	21.	US-6,098,064	08/01/00	Pirolli		
•	22.	US-6,341,288 B1	01/22/02	Yach .		
	23.	US-6,401,193	06/04/02	Afsar		
	24.	US-6,421,342 B1	07/16/02	Schwartz		
	25.	US-6,463,508 B1	10/08/02	Wolf		
	26.	US-6,557,079	04/29/03	Mason, Jr.		
	27.	US-6,701,520 B1	03/02/04	Santosuosso		
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Examiner Signature	Date Considered			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.